## State of Rhode Island and Providence Plantations Department of Administration Division of Purchases

#### RIVIP BIDDER CERTIFICATION COVER FORM

#### **SECTION 1 - BIDDER INFORMATION**

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7549659A1

Solicitation Title: REPLACE WINDOWS AT DIX BUILDING - DOC - ADDENDUM 1

**Bid Proposal Submission** 

Deadline Date & Time: 7/2/2015 10:30 AM

RIVIP Vendor ID #: 4207

Bidder Name: J J Cardosi Inc
Address: 28 Boston Street

E Providence, RI 02914-5009

USA

Telephone: (401) 435-6101

Fax: 401-435-6102

Contact Name: Jay Cardosi

Contact Title: President

Contact Email: info@jjcardosi.com

#### **SECTION 2 — DISCLOSURES**

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

- 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

- 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
  - 5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

John J Cardosi Jr. President	
28 Boston Street, East Providence, RI 02914	

#### **SECTION 3 — CERTIFICATIONS**

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

#### THE BIDDER CERTIFIES THAT:

- 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if neces	esary):
State of Rhode Island through the Division solicitation and the bid proposal. The Bidde agrees to comply with its terms and condition information submitted in the bid proposal (complete. The Bidder acknowledges that the be incorporated into any contract awarded to person signing below represents, under per	ursuant to this solicitation constitutes an offer to contract with the of Purchases on the terms and conditions contained in this r certifies that: (1) the Bidder has reviewed this solicitation and ons; (2) the bid proposal is based on this solicitation; and (3) the including this Bidder Certification Cover Form) is accurate and terms and conditions of this solicitation and the bid proposal will the Bidder pursuant to this solicitation and the bid proposal. The nalty of perjury, that he or she is fully informed regarding the all and has been duly authorized to execute and submit this bid
<b>Date:</b> 07/02/2015	J J Cardosi, Inc.  Name of Bidder  Signature in ink John J Cardosi Jr., President
	Printed name and title of person signing on behalf of Bidder

#### Page 1 of 1



#### **Request for Quote**

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS ONE CAPITOL HILL PROVIDENCE RI 02908

CREATION DATE: 24-JUN-15

BID NUMBER: 7549659,1

REPLACE WINDOWS AT DIX BUILDING

**BLANKET START: 01-AUG-15** 

BID CLOSING DATE AND TIME:02-JUL-2015 10:30:00

BLANKET END : 31-DEC-15

**DOC CDC WAREHOUSE** 

25 POWER ROAD

**CRANSTON, RI 02920** 

ATTN: (SEE 'ATTN' line in PO)

DOA CONTROLLER

ONE CAPITOL HILL, 4TH FLOOR

Cadoret, David

SMITH ST

BUYER:

PHONE #:

PROVIDENCE, RI 02908

N/A

**Requistion Number:** 

Amendment Description: THIS ADDENDUM POSTS THE SIGN IN SHEET FROM THE MANDATORY PRE BID CONFERENCE.

THIS ADDENDUM POSTS NOTES FROM THE PRE BID CONFERENCE.

THIS ADDENDUM CORRECTS THE REQUEST FOR QUOTE FORM (BID FORM) TO ACCURATELY REFLECT THE NUMBER OF WINDOWS IN

Н

P

US 0

THIS ADDENDUM POSTS ACKNOWLEDGEMENT OF ADDENDUM(A) SHEET TO BE SUBMITTED WITH BID FORM.

Line	Description	Quantity	Unit	Unit Price	Total
1	REPLACE WINDOWS THAT ARE IN DISREPAIR AT THE DIX BUILDING AS PER ATTACHED SPECIFICATIONS. NO SUBSTITUTION. WINDOWS TO MATCH EXISTING	30.00	Each	1,990	59,700

Delivery:	
Forme of Doumonts	

#### THE AMERICAN INSTITUTE OF ARCHITECTS



#### AIA Document A310

#### **Bid Bond**

KNOW ALL MEN BY THESE PRESENTS, that we

J.J. Cardosi, Inc.

28 Boston Street, East Providence, RI 02914 as Principal, hereinafter called the Principal, and

**Employers Mutual Casualty Company** 

P.O. Box 712, Des Moines, IA 50306-0712 a corporation duly organized under the laws of State of IA

as Surety, hereinafter called the Surety, are held and firmly bound unto

State of Rhode Island and Providence Plantations

One Capitol Hill, Providence, RI 02908 as Obligee, hereinafter called the Obligee, in the sum of

Five Percent of Amount Bid

Dollars (\$ 5%

),

for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents. WHEREAS, the Principal has submitted a bid for

Replace Windows at Dix Building, Cranston, RI

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution hereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this July J.J. Cardosi, Inc (Principal) (Witness) BY: (Title) **Employers Mutual Casualty Company** (Surety) (Witness) (Title) Attorney-in-Fact Shelly Andrade



P.O. Box 712 • Des Moines, Iowa 50306-0712

#### CERTIFICATE OF AUTHORITY INDIVIDUAL ATTORNEY-IN-FACT

#### KNOW ALL MEN BY THESE PRESENTS, that:

- 1. Employers Mutual Casualty Company, an Iowa Corporation
- 2. EMCASCO Insurance Company, an Iowa Corporation
- 3. Union Insurance Company of Providence, an Iowa Corporation
- 4. Illinois EMCASCO Insurance Company, an Iowa Corporation

- 5. Dakota Fire Insurance Company, a North Dakota Corporation
- 6. EMC Property & Casualty Company, an Iowa Corporation
- 7. Hamilton Mutual Insurance Company, an Iowa Corporation

hereinafter referred to severally as "Company" and collectively as "Companies", each does, by these presents, make, constitute and appoint:

#### **Shelly Andrade**

its true and lawful attorney-in-fact, with full power and authority conferred to sign, seal, and execute the following Surety Bond:

Surety Bond Number: Bid Bond

Principal : J.J. Cardosi, Inc.

Obligee : State of Rhode Island and Providence Plantations

and to bind each Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of each such Company, and all of the acts of said attorney pursuant to the authority hereby given are hereby ratified and confirmed.

#### **AUTHORITY FOR POWER OF ATTORNEY**

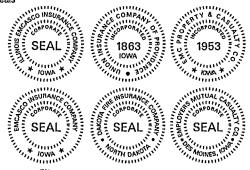
This Power-of-Attorney is made and executed pursuant to and by the authority of the following resolution of the Boards of Directors of each of the Companies at the first regularly scheduled meeting of each company duly called and held in 1999:

RESOLVED: The President and Chief Executive Officer, any Vice President, the Treasurer and the Secretary of Employers Mutual Casualty Company shall have power and authority to (1) appoint attorneys-in-fact and authorize them to execute on behalf of each Company and attach the seal of the Company thereto, bonds and undertakings, recognizances, contracts of indemnity and other writings obligatory in the nature thereof; and (2) to remove any such attorney-in-fact at any time and revoke the power and authority given to him or her. Attorneys-in-fact shall have power and authority, subject to the terms and limitations of the power-of-attorney issued to them, to execute and deliver on behalf of the Company, and to attach the seal of the Company thereto, bonds and undertakings, recognizances, contracts of indemnity and other writings obligatory in the nature thereof, and any such instrument executed by any such attorney-in-fact shall be fully and in all respects binding upon the Company. Certification as to the validity of any power-of-attorney authorized herein made by an officer of Employers Mutual Casualty Company shall be fully and in all respects binding upon this Company. The facsimile or mechanically reproduced signature of such officer, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power-of-attorney of the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN WITNESS THEREOF, the Companies have caused these presents to be signed for each by their officers as shown, and the Corporate seals to be hereto affixed this 13th day of January, 2014.

Seals

INES, 10



KATHY LYNN LOVERIDGE
Commission Number 780769
My Commission Expires
October 10, 2016

Bruce G. Kelley, Chairman of Companies 2, 3, 4, 5 & 6; President of Company 1; Vice Chairman and

CEO of Company 7

Michael Freel Assistant Vice President/ Assistant Secretary

On this 13th day of January, 2014 before me a Notary Public in and for the State of Iowa, personally appeared Bruce G. Kelley and Michael Freel, who, being by me duly swom, did say that they are, and are known to me to be the Chairman, President, Vice Chairman and CEO, and/or Assistant Vice President/Assistant Secretary, respectively, of each of the Companies above; that the seals affixed to this instrument are the seals of said corporations; that said instrument was signed and sealed on behalf of each of the Companies by authority of their respective Boards of Directors; and that the said Bruce G. Kelley and Michael Freel, as such officers, acknowledged the execution of said instrument to be their voluntary act and deed, and the voluntary act and deed of each of the Companies.

My Commission Expires October 10, 2016.

Notally Public in and for the State of Iowa

#### CERTIFICATE

I, James D. Clough, Vice President of the Companies, do hereby certify that the foregoing resolution of the Boards of Directors by each of the Companies, and this Power of Attorney issued pursuant thereto on 13th day of January, 2014, are true and correct and are still in full force and effect.

In Testimony Whereof I have subscribed my name and affixed the facsimile seal of each Company this 2nd day of

July

2015

Vice President

"For verification of the authenticity of the Power of Attorney you may call (515) 345-2689."



# State of Rhode Island Department of Administration / Division of Purchases One Capitol Hill, Providence, Rhode Island 02908-5855 Tel: (401) 574-8100 Fax: (401) 574-8387

#### BID 7549659 Replace Windows at DIX Building

#### Acknowledgement of addendum(a):

I have received and reviewed the following addendum(a) that pertain to this bid. This sheet must be submitted with your bid proposal. Failure to do so may result in your bid being considered NON-RESPONSIVE.

Addendum Number 1	Dated06/24/2015
Addendum Number	Dated
Signed	<b>Dated</b> 07/02/2015
Signed	Dated07/02/2015
Signed  John J Cardosi Jr.  Title President	Dated 07/02/2015





STATE OF RHODE ISLAN

CONTRACTORS' REGISTRATION AND LICENSING BOARD

COMMERCIAL ROOFER

REGISTRANTS NAME REDIOSI

DRIVER'S LICENSE #

Form W-9 (Rev. 3/7/11)

### State of Rhode Island PAYER'S REQUEST FOR TAXPAYER IDENTIFICATION NUMBER AND CERTIFICATION

THE IRS REQUIRES THAT YOU FURNISH YOUR TAXPAYER IDENTIFICATION NUMBER TO US. FAILURE TO PROVIDE THIS INFORMATION CAN RESULT IN A \$50 PENALTY BY THE IRS. IF YOU ARE AN INDIVIDUAL, PLEASE PROVIDE US WITH YOUR SOCIAL SECURITY NUMBER (SSN) IN THE SPACE INDICATED BELOW. IF YOU ARE A COMPANY OR A CORPORATION, PLEASE PROVIDE US WITH YOUR EMPLOYER IDENTIFICATION NUMBER (EIN) WHERE INDICATED.

Taxpaver Identification Number (T.I.N.)  Enter your taxpayer identification number in the appropriate box. For most individuals, this is your social security number:    D5				
the appropriate box. For most individuals, this is your social security number.    D5	Taxpayer Identification Number (T.I.N.)			
NAME J J Cardosi Inc.  ADDRESS 28 Boston Street  (REMITTANCE ADDRESS, IF DIFFERENT)  CITY, STATE AND ZIP CODE	the appropriate box. For most individuals,	Social Security No. (SSN)		
ADDRESS 28 Boston Street  (REMITTANCE ADDRESS, IF DIFFERENT)  CITY, STATE AND ZIP CODE	L		03   0463627	
CERTIFICATION: Under penalties of perjury, I certify that:  (1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because either: (A) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (B) the IRS has notified me that I am no longer subject to backup withholding.  Certification Instructions You must cross out item (2) above if you have been notified by the IRS that you are subject to backup withholding because of under-reporting interest or dividends on your tax return. However, if after being notified by IRS that you were subject to backup withholding you received another notification from IRS that you are no longer subject to backup withholding, do not cross out item (2)  PLEASE SIGN HERE  SIGNATURE  TITLE  President  DATE  07/02/2015  DATE  07/02/2015  TEL NO.401-435-6101  BUSINESS DESIGNATION:  Please Check One: Individual   Medical Services Corporation   Government/Nonprofit Corporation   Partnership   Corporation   Trust/Estate   Legal Services Corporation   Make the partnership   Corporation   Trust/Estate   Legal Services Corporation   Make the partnership   Same T.I.N. with more than one location, adhere to the following:  1) Same T.I.N. with more than one location attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.  2) Different T.I.N. with more different location submit a completed W-9 form for each T.I.N. and location. (One year-end tax information return should be mailed.	NAME J J Cardosi Inc.			
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BUSINESS DESIGNATION:  Please Check One: Individual   Medical Services Corporation   Government/Nonprofit Corporation   Partnership   Corporation   Legal Services Corporation   Honor   Legal Services Corporation   Name: Be sure to enter your full and correct name as listed in the IRS file for you or your business.  ADDRESS, CITY, STATE AND ZIP CODE: Enter your primary business address and remittance address if different from your primary address). If you operate a business at more than one location, adhere to the following:  1) Same T.I.N. with more than one location attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.  2) Different T.I.N. for each different location submit a completed W-9 form for each T.I.N. and location. (One year-end tax information return will be reported for each T.I.N. and remittance address.)	withholding because of under-reporting interest subject to backup withholding you received an	t or dividends on your tax return. H	owever, if after being notified by IRS that	at you were
Please Check One: Individual		TITLE Presiden	ut DATE 07/02/2015EL NO.40	<u>1-435-6</u> 101
Partnership Corporation Trust/Estate Legal Services Corporation  NAME: Be sure to enter your full and correct name as listed in the IRS file for you or your business.  ADDRESS, CITY, STATE AND ZIP CODE: Enter your primary business address and remittance address if different from your primary address). If you operate a business at more than one location, adhere to the following:  Same T.I.N. with more than one location attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.  Different T.I.N. for each different location submit a completed W-9 form for each T.I.N. and location. (One year-end tax information return will be reported for each T.I.N. and remittance address.)	BUSINESS DESIGNATION:			
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	<ul> <li>address). If you operate a business at more than 5 Same T.I.N. with more than one location to which location the year-end tax information</li> <li>Different T.I.N. for each different location serious return will be reported for each T.I.N. and remaindered.</li> </ul>	an one location, adhere to the followin attach a list of location addresses won return should be mailed. submit a completed W-9 form for eac emittance address.)	ng: ith remittance address for each location a ch T.I.N. and location. (One year-end tax i	nd indicate

Mail to: Supplier Coordinator, One Capitol Hill, Providence, RI 02908

BUSINESS TYPE CHECK-OFF -- Check the appropriate box for the type of business ownership.